

PTO/SB/21 (modified) (04-04)

Approved for use through 04/30/2003. OMB 0651-0031

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362-15W

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

09/502,313

Filing Date

2/11/2000

First Named Inventor

Keith Rose

Art Unit

3624

Examiner Name

Campen, Kelly

Attorney Docket Number

1142

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment / Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Response to Missing Parts/  
Incomplete ApplicationResponse to Missing Parts  
under 37 CFR 1.52 or 1.53Assignment Papers  
(for an Application)

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

After Allowance Communication  
to Technology Center (TC)Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please  
Identify below):

Postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

Charles E. Gottlieb

Signature

Date

June 13, 2006

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Arlington, VA 22313-1450 on the date shown below.

Typed or printed name

Nam Kim

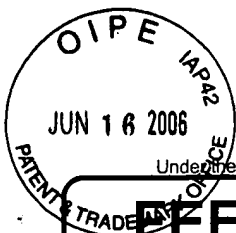
Signature

Date

June 13, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, PO Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**FEE TRANSMITTAL**  
**for FY 2005**

Effective 10/01/2003. Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**0****Complete if Known**

|                      |               |
|----------------------|---------------|
| Application Number   | 09/502,313    |
| Filing Date          | 2/11/2000     |
| First Named Inventor | Keith Rose    |
| Examiner Name        | Campen, Kelly |
| Art Unit             | 3624          |
| Attorney Docket No.  | 1142          |

**METHOD OF PAYMENT** (check all that apply)☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

07-1738

Charles E. Gotlieb

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s)☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity        |          | Small Entity |          | Fee Description            | Fee Paid |
|---------------------|----------|--------------|----------|----------------------------|----------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |                            |          |
| 1001                | 1000     | 2001         | 500      | Utility filing/search/exam |          |
| 1002                | 350      | 2002         | 175      | Design filing/search/exam  |          |
| 1003                | 550      | 2003         | 275      | Plant filing/search/exam   |          |
| 1004                | 790      | 2004         | 395      | Reissue filing/search/exam |          |
| 1005                | 200      | 2005         | 100      | Provisional filing fee     |          |
| <b>SUBTOTAL (1)</b> |          |              |          | <b>(\$)</b>                |          |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    |    |          |  |   |  |   |  |
|--------------------|----|----------|--|---|--|---|--|
| Total Claims       | 16 | - 20** = |  | X |  | = |  |
| Independent Claims | 2  | - 3** =  |  | X |  | = |  |
| Multiple Dependent |    |          |  |   |  | = |  |

| Large Entity        |          | Small Entity |          | Fee Description   | Fee Paid |
|---------------------|----------|--------------|----------|---|----------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |   |          |
| 1202                | 50       | 2202         | 25       | Claims in excess of 20                                    |          |
| 1201                | 200      | 2201         | 100      | Independent claims in excess of 3                         |          |
| 1203                | 360      | 2203         | 180      | Multiple dependent claim, if not paid                     |          |
| 1204                | 88       | 2204         | 44       | **Reissue independent claims over original patent         |          |
| 1205                | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |          |
| <b>SUBTOTAL (2)</b> |          |              |          | <b>(\$)</b>   |          |

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

| Large Entity                      |          | Small Entity |          | Fee Description  | Fee Paid    |
|-----------------------------------|----------|--------------|----------|--|-------------|
| Fee Code                          | Fee (\$) | Fee Code     | Fee (\$) |  |             |
| 1051                              | 130      | 2051         | 65       | Surcharge-late filing fee or oath  |             |
| 1052                              | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |             |
| 1053                              | 130      | 1053         | 130      | Non-English specification  |             |
| 1812                              | 2520     | 1812         | 2520     | For filing a request for <i>ex parte</i> reexamination                     |             |
| 1804                              | 920      | 1804         | 920      | Requesting publication of SIR prior to Examiner action                     |             |
| 1805                              | 1840     | 1805         | 1840     | Requesting publication of SIR after Examiner action                        |             |
| 1251                              | 120      | 2251         | 60       | Extension for reply within first month                                     |             |
| 1252                              | 450      | 2252         | 225      | Extension for reply within second month                                    |             |
| 1253                              | 1020     | 2253         | 510      | Extension for reply within third month                                     |             |
| 1254                              | 1590     | 2254         | 795      | Extension for reply within fourth month                                    |             |
| 1255                              | 1890     | 2255         | 1080     | Extension for reply within fifth month                                     |             |
| 1401                              | 500      | 2401         | 250      | Notice of Appeal   |             |
| 1402                              | 500      | 2402         | 250      | Filing a brief in support of an appeal                                     |             |
| 1403                              | 1000     | 2403         | 500      | Request for oral hearing   |             |
| 1451                              | 1510     | 1451         | 1510     | Petition to institute a public use proceeding                              |             |
| 1452                              | 500      | 2452         | 250      | Petition to revive - unavoidable   |             |
| 1453                              | 1500     | 2453         | 750      | Petition to revive - unintentional   |             |
| 1501                              | 1400     | 2501         | 700      | Utility issue fee (or reissue)   |             |
| 1502                              | 800      | 2502         | 400      | Design issue fee   |             |
| 1503                              | 1100     | 2503         | 550      | Plant issue fee  |             |
| 1460                              | 130      | 1460         | 130      | Petitions to the Commissioner  |             |
| 1807                              | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |             |
| 1806                              | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |             |
| 8021                              | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |             |
| 1809                              | 790      | 2809         | 395      | Filing a submission after final rejection (37 CFR 1.129(a))                |             |
| 1810                              | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR 1.129(b))             |             |
| 1801                              | 790      | 2801         | 395      | Request for Continued Examination (RCE)                                    |             |
| 1802                              | 900      | 1802         | 900      | Request for expedited examination of a design application                  |             |
| Other fee (specify)               |          |              |          |  |             |
| *Reduced by Basic Filing Fee Paid |          |              |          | <b>SUBTOTAL (3)</b>  | <b>(\$)</b> |

**SUBMITTED BY**Name(Print/Type) **Charles E. Gotlieb**

Signature

Registration No.  
(Attorney/Agent)**38,164**

(Complete (if applicable))

Telephone **650-328-0100**

Date

**6/13/2006****WARNING: Information on this form may become public. Credit card information should not****be included on this form. Provide credit card information and authorization on PTO-2038.**

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE


APPLICANT: Keith Rose et. al.  
SERIAL NO: 09/502,313  
FILING DATE: 2/11/2000  
TITLE: METHOD AND APPARATUS FOR RECEIVING A SUBSCRIPTION  
FOR A SECURITY  
GROUP ART UNIT: 3624  
ATTY DOCKET NO: 1142  
EXAMINER: Campen, Kelly

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**CERTIFICATION OF MAILING**

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Date: 6/13/2006

  
\_\_\_\_\_  
Nam Kim

THE HONORABLE COMMISSIONER OF PATENTS, ALEXANDRIA, VA 22313

AMENDMENT D UNDER 37 C.F.R. 1.111

SIR:

Responsive to the Official Action mailed 3/13/2006,

please amend the above-referenced application as follows: